

**Practitioner's Docket No.:** 871\_001

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of: Kenji UKAI and Kouji HISADA

Ser. No.: 10/619,315

Art Unit: 1745

Filed: July 14, 2003

Examiner: Weiner, Laura S.

Conf. No.: 6680

For: SINGLE CELL FOR A SOLID OXIDE FUEL CELL

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97(d)**

**TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

1. The information disclosure statement transmitted herewith is being filed *after*:

- (1) a final action under § 1.113,
- (2) a notice of allowance under § 1.311, or
- (3) an Office Action that closes prosecution ,

but before, or simultaneously with, the payment of the issue fee.

**STATEMENT AND FEE**

2. In accordance with the requirements of 37 C.F.R. 1.97(d):

- A. Accompanying this transmittal is a statement, as specified in 37 C.F.R. 1.97(e).
- B. Applicant(s) submit(s) the submission fee set forth in § 1.17(p) (\$180.00).

**METHOD OF PAYMENT OF FEE**

3. ☐ Attached is a check in the amount of \$.

☐ Charge Account 50-1446 in the amount of \_\_\_\_\_.

A duplicate of this paper is attached.

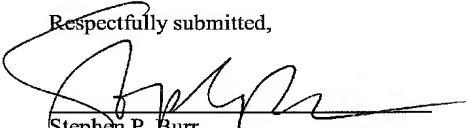
☒ The fees associated with this paper are being paid electronically with this submission.

If any additional fees are due, please charge Deposit Account 50-1446.

June 26, 2006

Date

Respectfully submitted,

  
Stephen P. Burr  
Reg. No. 32,970

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**CERTIFICATION UNDER 37 C.F.R. § 1.97(e)**


Sir:

☒ Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

☐ No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

  
\_\_\_\_\_  
Stephen P. Burr  
Reg. No. 32,970

June 26, 2006

\_\_\_\_\_  
Date

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Required copies of the references listed on Form PTO-1449 are attached.

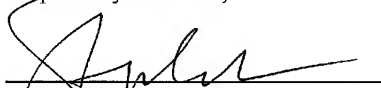
Also enclosed is a copy of a Japanese Notice of Rejection from a corresponding Japanese patent application bearing a mailing date of May 29, 2006, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

Reference AS corresponds to Reference AT.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Stephen P. Burr", is written over a horizontal line.

Stephen P. Burr  
Reg. No. 32,970

June 26, 2006  
Date

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Form PTO-1449  US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	10/619,315
				Filing Date	July 14, 2003
				First Named Inventor	Kenji UKAI
				Art Unit	1745
				Examiner Name	Weiner, Laura S.
				Confirmation No.	6680
Sheet	1	of	1	Attorney Docket No.	871_001

### U.S. PATENT DOCUMENTS

Exam Initial		Document Number	Date	Name	Our Docket No.	Class	Sub Class	Filing Date

### FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub Class	Translation	Abstract
	<i>AM</i>	06-107462	04/19/1994	JP			JPO Machine Translation	✓
	<i>AN</i>	2002-134131	05/10/2002	JP			JPO Machine Translation	✓
	<i>AO</i>	2001_283877	10/12/2001	JP			JPO Machine Translation	✓
	<i>AP</i>	2001-176518	06/29/2001	JP			JPO Machine Translation	✓
	<i>AQ</i>	04-230955	08/19/1992	JP				✓
	<i>AR</i>	11-246220	09/14/1999	JP			JPO Machine Translation	✓
	<i>AS</i>	11-502052	02/16/1999	JP				
	<i>AT</i>	96/28856	09/19/1996	WO				

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.)


Examiner:		Date Considered:	
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.